IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPLOS MALL LABEL EL 595 82.781305 **528 Rec'd PCT/PTO 01 DEC 2000** 

In re International Application of

Yukihiko OKUMURA, Hidehiro ANDO, Masafumi USUDA, Yoshihiro ISHIKAWA and Seizo ONOE

International Application No.: PCT/JP00/02105 International filing date: March 31, 2000

For: CHANNEL ESTIMATION DEVICE AND METHOD, DEMODULATION DEVICE AND METHOD, AND FADING FREQUENCY DECISION DEVICE AND METHOD

#### VERIFICATION OF TRANSLATION

Honorable Commissioner of Patent and Trademark Washington, D.C. 20231 Sir:

Masashi SHINKAI residing at c/o TANI & ABE, No. 6-20, Akasaka 2-chome, Minato<sub>7</sub>ku, Tokyo 107-0052, Japan, declares:

(1) that he knows well both the Japanese and English languages;

(2) that he translated the claims of the above-identified International Application from Japanese to English;

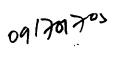
(3) that the attached English translation is a true and correct translation of the claims, specification and drawings of the above-identified International Application to the best of his knowledge and belief; and

(4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

November 20, 2000

M. Shinkai

Masashi SHINKAI



• oy for the designated Office (DO/US)

,

:

.

# PATENT COOPERATION TREATING

PCT	From the INTERNATIONAL BUREAU
101	То:
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year)	TANI, Yoshikazu No. 6-20, Akasaka 2-chome Minato-ku, Tokyo 107-0052 JAPON
14 November 2000 (14.11.00)	
Applicant's or agent's file reference PF12317/MS	IMPORTANT NOTIFICATION
International application No. PCT/JP00/02105	International filing date (day/month/year) 31 March 2000 (31.03.00)
<ol> <li>The following indications appeared on record concerning:</li> <li>X the applicant</li> <li>X the inventor</li> </ol>	the agent the common representative
Name and Address USUDA, Masashi 18-11-102, Noukendaidori Kanazawa-ku, Yokohama-shi, Kanagawa 236-0053 Japan 2. The International Bureau hereby notifies the applicant tha	State of Nationality     State of Residence       JP     JP       Telephone No.
the person X the name the a	ddress the nationality the residence
Name and Address USUDA, Masafumi 18-11-102, Noukendaidori	JP JP Telephone No.
18-11-102, Noukendaidori Kanazawa-ku, Yokohama-shi, Kanagawa 236-0053 Japan	Facsimile No. Teleprinter No.
Kanagawa 236-0053	
Kanagawa 236-0053 Japan	Teleprinter No.
Kanagawa 236-0053 Japan 3. Further observations, if necessary:	
Kanagawa 236-0053 Japan 3. Further observations, if necessary: 4. A copy of this notification has been sent to: X the receiving Office the International Searching Authority	Teleprinter No.         X         the designated Offices concerned         the elected Offices concerned



	From	the INTERNATIONAL	BUREAU
РСТ	To:		
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422)	No.	II, Yoshikazu 6-20, Akasaka 2-chom ato-ku, Tokyo 107-005 ON	
28 June 2000 (28.06.00)			
Applicant's or agent's file reference PF12317/MS		IMPORTANT NOT	<b>TIFICATION</b>
International application No.	Internatio	onal filing date (day/month/	year)
PCT/JP00/02105	31 /	March 2000 (31.03.00)	
1. The following indications appeared on record concerning         X       the applicant         X       the inventor         Name and Address	: the age	State of Nationality	non representative State of Residence
OKUMURA, Yukihiko 9-2-3-405, Sugita		JP	JP
Isogo-ku Yokohama-shi		Telephone No.	
Kanagawa 235-0033		Facsimile No.	
Japan (applicant and inventor for all designated Stat	es)		ĺ
ચ્ચ્ચાર/મથો પ્રચ	·	Teleprinter No. South	τ.
2. The International Bureau hereby notifies the applicant tha	t the following	change has been recorded	concerning:
	ddress	the nationality	the residence
Name and Address		State of Nationality	State of Residence
OKUMURA, Yukihiko		JP	JP
9-2-3-405, Sugita Isogo-ku		Telephone No.	
Yokohama-shi Kanagawa 235-0033		Facsimile No.	
Japan (applicant for US and inventor for all designate	ed	i desimile ivo.	
States)		Teleprinter No.	
3. Further observations, if necessary:			
4. A copy of this notification has been sent to:			
X the receiving Office	Г	the designated Officer	concerned
X the International Searching Authority	ľ	the designated Offices	
the International Preliminary Examining Authority	. [	other:	icemeu
	Authorized	officer	
The International Bureau of WIPO 34, chemin des Colombettes		Susumu Kul	
1211 Geneva 20, Switzerland			
Facsimile No.: (41-22) 740.14.35	Telephone	No.: (41-22) 338.83.38	
Form PCT/IB/306 (March 1994)			003377504



### PCT

NOTIFICATION OF RECEIPT OF RECORD COPY

(PCT Rule 24.2(a))

#### From the INTERNATIONAL BUREAU

To:

TANI, Yoshikazu No. 6-20, Akasaka 2-chome Minato-ku, Tokyo 107-0052 JAPON

Date of mailing (day/month/year) 20 April 2000 (20.04.00) Applicant's or agent's file reference

PF12317/MS

### IMPORTANT NOTIFICATION

International application No. PCT/JP00/02105

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

NTT MOBILE COMMUNICATIONS NETWORK INC. (for all designated States except US) OKUMURA, Yukihiko (all designated States)

ANDO, Hidehiro et al (for US)

International filing date	:	31 March 2000 (31.03.00)
Priority date(s) claimed	:	02 April 1999 (02.04.99)
•		30 November 1999 (30.11.99)
		23 March 2000 (23.03.00)
Date of receipt of the record copy by the International Bureau	:	14 April 2000 (14.04.00)
List of designated Offices	:	

EP :AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE National :AU,CA,CN,JP,KR,SG,US

#### ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

X time limits for entry into the national phase

confirmation of precautionary designations

requirements regarding priority documents

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer:	Susumu Kubo
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22)	) 338.83.38

Form PCT/IB/301 (July 1998)

003240963



	TRIENT COOPE	RATION TREAM	
		From the INTERNATION	AL BUREAU
PC	т	То:	
NOTIFICATION SUBMISSION OR OF PRIORITY ( (PCT Administrative Ins	TRANSMITTAL DOCUMENT	TANI, Yoshikazu No. 6-20, Akasaka 2-cl Minato-ku, Tokyo 107- JAPON	
Date of mailing (day/month/year			
07 June 2000 (07.06.00	·		
Applicant's or agent's file referent PF12317/MS	100	IMPORTANT I	NOTIFICATION
International application No.		International filing date (day/mo	
PCT/JP00/02105		31 March 2000 (31.03.	00)
International publication date (da	iy/month/year)	Priority date (day/month/year)	
Not yet published		02 April 1999 (02.04.99	9)
Applicant			
NTT MOBILE COMMU	NICATIONS NETWORK I	NC. et al	
<ol> <li>An asterisk(*) appearing nex or transmitted to the Internat of the applicant is directed to concerned before giving the within a time limit which is re</li> <li>The letters "NR" appearing i Bureau or which the applicant as provided by Rule 17.1(a) of provides that no designated</li> </ol>	riority document(s) relating to earing next to a date of receipt ibmitted or transmitted to the l ny previously issued notification it to a date of receipt, in the rig tional Bureau but not in compli- b Rule 17.1 (c) which provides the applicant an opportunity, upor easonable under the circumstant in the right-hand column denot in the right-hand column denot in the receiving or (b), respectively. In such a ca Office may disregard the prior	pt where the letters "NR" appear i the earlier application(s) indicated , or by the letters "NR", in the right international Bureau in compliance on concerning submission or transm ht-hand column, denotes a priority iance with Rule 17.1(a) or (b). In su hat no designated Office may disre- n entry into the national phase, to f inces. e a priority document which was no office to prepare and transmit to isse, the attention of the applicant is ity claim concerned before giving to ocument within a time limit which is	below. Unless otherwise t-hand column, the priority with Rule 17.1(a) or (b). mittal of priority documents. document submitted ch a case, the attention egard the priority claim furnish the priority document to received by the International the International Bureau, s directed to Rule 17.1(c) which the applicant an opportunity
Priority date	Priority application No.	Country or regional Office	Date of receipt
02 Apri 1999 (02.04.99)	11/96804	or PCT receiving Office	of priority document
30 Nove 1999 (30.11.99)	11/340638	JP JP	26 May 2000 (26.05.00) 26 May 2000 (26.05.00)
23 Marc 2000 (23.03.00)	2000/82929	JP	26 May 2000 (26.05.00) 26 May 2000 (26.05.00)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Marc Salzman	A
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.83.38	$\cup$
Form PCT/IB/304 (July 1998)		003332559

-

003332559



		From the INTER	NATIONAL BUREAU
PCT NOTICE INFORMING THE APPL COMMUNICATION OF THE INT APPLICATION TO THE DESIGN (PCT Rule 47.1(c), first se	TERNATIONAL ATED OFFICES	To: TANI, Yoshikazu No. 6-20, Akasaka 2-chome Minato-ku, Tokyo 107-0052 JAPON	
Date of mailing (day/month/year) 12 October 2000 (12.10.00)		][	
Applicant's or agent's file reference PF12317/MS		IN	IPORTANT NOTICE
International application No. PCT/JP00/02105	1	date (day/month/year) 000 (31.03.00)	Priority date (day/month/year) 02 April 1999 (02.04.99)
Applicant NTT MOBILE COMMUNI			
<ol> <li>Notice is hereby given that the Internati to the following designated Offices on t AU,KR,US</li> </ol>	onal Bureau has con he date indicated abo	nmunicated, as provided ove as the date of mailin	in Article 20, the international application g of this Notice:
In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s). 2. The following designated Offices have waived the requirement for such a communication at this time: CA,CN,EP,JP,SG			
The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).			
3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 12 October 2000 (12.10.00) under No. WO 00/60761			
REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)			
If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.			
It is the applicant's sole responsibility to			
Note that only an applicant who is a nat right to file a demand for international p	ional or resident of a reliminary examinat	PCT Contracting State v ion.	vhich is bound by Chapter II has the
REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))			
or so months, or later in some Offices, p	If the applicant wishes to proceed with the international application in the <b>national phase</b> , he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.		
For further important information on the Annex to Form PCT/IB/301 (Notification	e time limits and acts of Receipt of Record	to be performed for ent Copy) and Volume II of t	ering the national phase, see the he PCT Applicant's Guide.
	· · · · ·	Authorized officer	
The International Bureau of W 34, chemin des Colombette 1211 Geneva 20, Switzerlar	es		J. Zahra
Facsimile No. (41-22) 740.14.35		Telephone No. (41-22) 3	338.83.38

Telephone No. (41-22) 338.83.38

特許協力条約に基づく国際出願願書 原本(出顧用) - 印刷日時 2000年03月31日(31.03.2000)金曜日 16時05分51秒

Τ 受理官庁記入欄 0-1 国際出願番号. 0-2 国際出願日 A 0-3 (受付印) 領由 様式-PCT/R0/101 この特許協力条約に基づく国 0-4 際出願願書は、 0 - 4 - 1右記によって作成された。 PCT-EASY Version 2.90 (updated 08.03.2000) 0-5 申立て 出願人は、この国際出願が特許 協力条約に従って処理されるこ とを請求する。 田顧人によって指定された受 理官庁 0-6 日本国特許庁 (RO/JP) 0-7 出願人又は代理人の書類記号 PF12317/MS Т 発明の名称 チャネル推定装置および方法、復調装置および方法 ならびにフェージング周波数判定装置および方法 TT 田闌人 11-1 この欄に記載した者は 出願人である(applicant only) 11-2 米国を除くすべての指定国 (all designated 右の指定国についての出願人で ある。 States except US) エヌ・ティ・ティ移動通信網株式会社 II-4ia 名称 NTT MOBILE COMMUNICATIONS NETWORK INC. II-4en Name II-5ia あて名: 100-6150 日本国 東京都 千代田区 永田町2丁目11番1号 11-1, Nagatacho 2-chome 11-Sen Address: Chiyoda-ku, Tokyo 100-6150 Japan 11-6 国籍(国名) 日本国 JP 11-7 住所(国名) 日本国 JP その他の出願人又は発明者 **TII-**出願人及び発明者である (applicant and 111-1-1 この欄に記載した者は inventor) 右の指定国についての出願人で |すべての指定国 (all designated States)® 111-1-2 ある。 111-1-4ja 氏名(姓名) 奥村 幸彦 III-1-4en Name (LAST, First) OKUMURA, Yukihiko 235-0033 日本国 III-I-Sja あて名: 神奈川県 横浜市磯子区 杉田9-2-3-405 III-1-Sen Address: 9-2-3-405, Sugita Isogo-ku, Yokohama-shi, Kanagawa 235-0033 Japan 111-1-6 国籍 (国名) 日本国 JP 111-1-7 住所(国名) 日本国 JP

PF12317/MS

.

特許協力条約に基づく国際出願願書 原本(出願用) - 印刷日時 2000年03月31日(31.03.2000)金曜日 16時05分51秒

111-2 その他の出願人又は発明者 出願人及び発明者である(applicant and 111-2-1 この欄に記載した者は inventor) 右の指定国についての出願人で |米国のみ(US only) 111-2-2 ある。 安藤 英浩 111-2-4]8 氏名(姓名) ANDO. Hidehiro 111-2-4en Name (LAST, First) 233-0006 日本国 神奈川県 横浜市港南区 III-2-Sja あて名: 芹が谷3-16-5-101 111-2-5en Address: 3-16-5-101, Serigaya Konan-ku, Yokohama-shi, Kanagawa 233-0006 Japan 111-2-6 国籍(国名) 日本国 JP 111-2-7 日本国 JP 住所(国名) 111-3 その他の出願人又は発明者 出願人及び発明者である (applicant and 111-3-1 この欄に記載した者は inventor) 右の指定国についての出願人で ある。 |米国のみ (US only) 111-3-2 111-3-4ja 氏名(姓名) 白田 昌史 111-3-4en Name (LAST, First) USUDA, Masashi 236-0053 日本国 神奈川県 横浜市金沢区 III-3-5ja あて名: 能見台通18-11-102 18-11-102, Noukendaidori III-3-Sen Address: Kanazawa-ku, Yokohama-shi, Kanagawa 236-0053 Japan 111-3-6 国籍(国名) 日本国 JP 日本国 JP 111-3-7 住所 (国名) गान その他の出願人又は発明者 出願人及び発明者である (applicant and [[]-4-1 この欄に記載した者は inventor) 右の指定国についての出願人で 111-4-2 \*国のみ(US only) ある。 III-4-4ja 氏名(姓名) 石川 義裕 ISHIKAWA, Yoshihiro 111-4-4en Name (LAST, First) 239-0841 日本国 III-4-5ja あて名: 神奈川県 横須賀市野比 4-18-4-503 4-18-4-503, Nobi III-4-5en Address: Yokosuka-shi, Kanagawa 239-0841 Japan 111-4-6 国籍(国名) 日本国 JP 住所(国名) 111-4-7 日本国 JP

PF12317/MS

575 特許協力条約に基づく国際出願顧書 原本(出願用)-印刷日時 2000年03月31日(31.03.2000)金曜日 16時05分51秒

		版本(田観川) 日朝日間	
Т	11-5	その他の出願人又は発明者	
1		この欄に記載した者は	出願人及び発明者である(applicant and
		i	nventor)
I	11-5-2	右の指定国についての出願人で	K国のみ(US only)
		ある。	
I	11-5-4ja	氏名(姓名)	<b>毛上 誠蔵</b>
	1		NOE, Seizo
			36-0032 日本国
•			申奈川県 横浜市金沢区
		1	☆ 第 1 1974-21
1	11-5-5en	Address:	1974-21, Mutsuura-cho Valabara abi Kanagawa 236-0032
			(anazawa-ku, Yokohama-shi, Kanagawa 236-0032
			Japan
	111-5-6	国籍(国名)	日本国 JP
	111-5-7	住所(国名)	日本国 JP
-	IV-1	代理人又は共通の代表者、通	
•		知のあて名	
		下記の者は国際機関において右	代理人(agent)
		記のごとく出願人のために行動	
		する。	
	IV-I-Ija		谷義一
	IV-I-len	Name (LAST, First)	TANI, Yoshikazu
	IV-1-2ja		107-0052 日本国
		<b>の</b> で日、	東京都 港区
			赤坂2丁目6-20
	••• • • •		No. 6-20, Akasaka 2-chome
	1V-1-2en	Address:	NO. 0-20, AKASAKA 2°CHUWC NJ. J. Luu Toluvo 107-0052
		· ·	Minato-ku, Tokyo 107-0052
			Japan
	17-1-3	電話番号	03-3589-1201
	17-1-4	ファクシミリ番号	03-3589-1206
	<b>v</b>	国の指定	
	V-1	広域特許	EP: AT BE CH&LI CY DE DK ES FI FR GB GR IE IT
		(他の種類の保護又は取扱いを	ILLINC NI PT SE
		求める場合には括弧内に記載す	及びヨーロッパ特許条約と特許協力条約の締約国
		る。)	である他の国
	<u>V-2</u>	国内特許	AU CA CN JP KR SG US
	v-*	国内特許  (他の種類の保護又は取扱いを、	
		求める場合には括弧内に記載す	
•	V-5	指定の確認の宣言	
		出願人は、上記の指定に加えて	
		、規則4.9(b)の規定に基づき、	
		特許協力条約のもとで認められ	
		る他の全ての国の指定を行う。	
		ただし、V-6欄に示した国の指	
		定を除く。出願人は、これらの	·
		追加される指定が確認を条件と	
		していること、並びに優先日か ら15月が経過する前にその確認	
		15月が桂週9つ前にての確認   がなされない指定は、この期間	
		の経過時に、出願人によって取	
		り下げられたものとみなされる	
		ことを宜言する。	
•	<b>V-6</b>	指定の確認から除かれる国	なし (NONE)

PF12317/MS

PF12317/MS

特許協力条約に基づく国際出願願書 原本(出願用) - 印刷日時 2000年03月31日 (31.03.2000) 金曜日 16時05分51秒

<u> </u>	先の国内出願に基づく優先権		
	于電		aa)
V1-1-1	先の出願日	1999年04月02日(02.04.19	33)
V1-1-2		平成11年特許願第96804	
V1-1-3	国名	日本国 JP	
VI-2	先の国内出願に基づく優先権 主張		
¥1-2-1	上の 先の出願日	1999年11月30日 (30.11.19	99)
V1-2-2	先の出願番号	平成11年特許願第340638	
VI-2-3	国名	日本国 JP	
VI-3	先の国内出願に基づく優先権		
VI-3-1	<b>主張</b> 先の出願日	2000年03月23日(23.03.20	000)
VI-3-2	先の出願者号	特願2000-82929	
V1-3-3	エの山殿留ち	日本国 JP	
VI-4	四日 優先権証明書送付の請求		
	上記の先の出願のうち、右記の 番号のものについては、出願書	VI-1, VI-2, VI-3	
	番号のものについては、出願書		
	類の認証謄本を作成し国際事務局へ送付することを、受理官庁		
	同へ送付することを、受理自力に対して請求している。		
VII-1	特定された国際調査機関(ISA)	日本国特許庁(ISA/JP)	
VIII	照合欄	用紙の枚数	添付された電子データ
VIII-1	願書	5	
VII1-2	明細書	61	
VIII-3	請求の範囲	31	
VIII-4	要約	1	pf12317abstract.txt
VIII-5	図面	44	<b>-</b>
VIII-7	合計	142	
	添付書類	舔付	
VIII-8	手数料計算用紙		-
V111-9	別個の記名押印された委任状	✓	フレキシブルディスク
VIII-16	PCT-EASYディスク		JU+JJNJ475
VIII-17	その他	納付する手数料に相当す る特許印紙を貼付した書	
		る特許印紙を加付した言面	
VIII-17	その他	優先権書類送付請求書	
VIII-18			
VIII-10	安利者とこもに近示する因の	ζ.	· · ·
VIII-19		日本語(Japanese)	
11-1	提出者の記名押印		
1X-1-1	氏名(姓名)	谷 義一	
		受理官庁記入欄	
10-1	国際出願として提出された書		
10-1	国際田々として提出された皆類の実際の受理の日		
10-2	図面:		
10-2-1	受理された		
10-2-2	不足図面がある		

特許協力条約に基づく国際出願願書 原本(出顧用) - 印刷日時 2000年03月31日(31.03.2000)金曜日 16時05分51秒

10-3	国際出願として提出された書 類を補完する書類又は図面で あってその後期間内に提出さ れたものの実際の受理の日( 訂正日)	
10-4	特許協力条約第11条(2)に基づ く必要な補完の期間内の受理 の日	
10-5	出顧人により特定された国際 調査機関	ISA/JP
10-6	調査手数料未払いにつき、国 際調査機関に調査用写しを送 付していない	

## 国際事務局記入欄

11-1 記録原本の受理の日	

PF12317/MS